

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Miller, Michael M.

Group Art Unit: 1548

Application No.

Filed: Feb 10, 2004

For: Devices, Tests and Methods for the Analysis of Wine Samples

Date: Feb 10, 2004

Examiner: Cross

INFORMATION DISCLOSURE STATEMENT

TO THE ASSISTANT
COMMISSIONER FOR PATENTS:

Pursuant to his duty of disclosure, applicant encloses copies of the non-patent documents listed on the accompanying Form PTO-1449.

1. This information disclosure statement is being submitted:
 - a. ☒ Within three months of filing the above-identified application or entry of the national stage, or before receipt of the first Office action on the merits, whichever is later. (No certification required.)
 - b. ☐ After the period set forth in paragraph 1a, but before receipt of a final action or notice of allowance or an action that otherwise closes prosecution in an application (e.g. Ex parte Quayle). (Check box i. or ii.)
 - i. ☐ A fee of \$180.00 under 37 CFR 1.17(p) is enclosed.
 - ii. ☐ A certification under 37 CFR 1.97(e) is set forth below.

- c. ☐ After receipt of a final action or notice of allowance or an action that otherwise closes prosecution in an application (e.g. Ex parte Quayle) and before payment of an issue fee. (Check box i. and ii.)
- i. ☐ A certification under 37 CFR 1.97(e) is set forth below. AND
- ii. ☐ A fee of \$180.00 under 37 CFR 1.17(i)(1) is enclosed.
2. ☐ The attorney or agent signing below hereby certifies that:
- ☐ each item of information contained in the information disclosure statement was *first* cited in a *any* communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
3. ☐ Applicant(s) set forth below concise explanations of the relevance of each document not in the English language and/or selected document(s) in the English language.

Respectfully submitted,



By Lori M. Friedman
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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
104-CIP

SERIAL NO.

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

APPLICANT Miller, Michael M.

FILING DATE 2/10/04

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6 0 4 0 1 9 5	3/21/2000	Carroll et al	436	514	
	AB	5 3 0 4 4 6 8	4/19/94	Phillips et al	436	514	
	AC	5 1 7 8 8 3 1	1/12/93	Sakota et al	422	56	
	AD	4 2 2 3 0 8 9	9/16/80	Rothe et al	435	12	
	AE	5 8 2 4 4 9 1	10/20/98	Priest et al	435	28	
	AF	5 8 0 1 0 6 1	9/1/98	Stephenson	436	169	
	AG	5 8 9 7 9 0 1	4/27/99	Visioti	426	392	
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		01973380.7-2204	19Sept01	PCT/US0129683			YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	AR	16 pages of information on the Reflectoquant system, including pages on various wine tests and environmental analysis From Merck, KGaA
	AS	
	AT	
EXAMINER		DATE CONSIDERED

____ AU _____

____ AV _____

____ AW _____

____ AX _____

____ AY _____

____ AZ _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.